Perkins Potluck

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Overview

- Perkins Allocations and the Application
- Important Funding Considerations
- TEA Grant Management Resources
Perkins Allocations and the Application
2014–2015 Perkins Funding

- Nationwide - $1,117,445,530
- Texas - $92,014,058
Eligibility for Perkins Formula Funding

- Size, scope and quality
- PEIMS fall snapshot data must show enrollment in coherent sequences of CTE courses (Career Tech Indicator Code 2 and/or 3)
- Code 2 and 3 data determine eligibility for funding, but not amount of funding
Formula for Perkins LEA Allocations

- 90% of allocation based on Census population and poverty counts
  - 70% based on poverty counts
  - 30% based on population counts
- 10% of allocation based on Perkins performance measures
  - 5 of 8 measures; excludes 2S1, 6S1 and 6S2
Perkins SSA Requirements

- Perkins Sec. 131: LEAs must be eligible for more than $15,000 to apply alone unless they receive a waiver (more later).
- LEAs that are eligible for $15,000 or less may apply for Perkins funds by joining an SSA.
- Coherent sequence requirement for stand-alone applications: 10 for ISD, 5 for charter school
What is an SSA?

- Partnerships of two or more LEAs that apply for funding through a fiscal agent
- *Congressional intent:* LEAs with small allocations can leverage their funding by joining with other districts to achieve a mutually beneficial purpose.
SSA Requirements: Sec. 131(f)(1)

- SSAs may use Perkins funds only for purposes that are **mutually beneficial** to all members of the SSA.
- Perkins funds may not be reallocated to individual members for purposes or programs benefiting **only one member** of the SSA.
SSA Requirements (continued)

- A mutually beneficial purpose is a goal that will be shared by all SSA members.
- Funds reallocated to SSA members must be used for purposes that don’t benefit just one SSA member.
Waiver from SSA Requirement

- LEAs eligible for $15,000 or less may apply as an independent project only if:
  - they are located at least 30 highway miles from the nearest neighboring high school; or
  - the LEA is an approved charter school and demonstrates that it is unable to join an SSA.

- TEA will verify all waiver requests.
Reminders

- Deadline to apply: August 29, 2014
- Pre-award costs are not allowed for the Perkins formula grant
- The start date of your Notice of Grant Award (NOGA) will be the date you submit your application through eGrants, or July 1, 2014, whichever is later.
Perkins grantees receive a supplemental allocation from unobligated Perkins funding. Funds come from unexpended prior-year funds and current-year unawarded funds. Based on the same formula used to determine the planning amount, TEA will amend LEA applications to add reallocation funds – no need to submit an amendment.
Important Funding Considerations
Know When an Amendment is Necessary

- See “When to Amend the Application” at http://www.tea.state.tx.us/grants/gmresources/
- Don’t file an amendment unless you need to.
- Our negotiators will void an amendment that is submitted unnecessarily.
Don’t wait until the last minute to spend your Perkins funds!

- Some federal formula grants like NCLB and IDEA allow LEAs to “carry forward” unexpended balances into the next funding year.
- Perkins requires states to **redistribute** unexpended funds using the 70/30 formula.
- Redistribution of prior-year unexpended funds occurs during reallocation.
Receipt of Goods and Services

- Order equipment and other purchases well in advance of the end of the grant period.
- All goods must be received and services rendered between the beginning and ending date of the grant. For Perkins, the beginning date is the start date of your NOGA. The ending date is June 30, 2015.
- All goods must be received and services rendered in time to **substantially benefit the current grant period**.
Substantial Benefit

- Auditors will look at your purchases to make sure they were received and placed into service in time to substantially benefit the current grant period [July 1, 2014 (or date the application was received) through June 30, 2015].
- The period for substantial benefit is subjective.
- For the 2014-2015 grant, be able to document that your program substantially benefitted from the purchase before June 30, 2015.
Don’t Supplant!

- Funds for this program must be used to **supplement** (*increase the level of services*) and not **supplant** (*replace*) funds from nonfederal sources.
Basic Definition

- Federal funds may not be used to provide services required by state law, State Board of Education rule, or local policy.
- State funds may not be diverted for other purposes because of the availability of federal funds.
Basic Test

- One test to determine whether supplanting has occurred is whether the programs supported with federal funds *would*, in the absence of those federal funds, *have been supported with state or local funds.*
Basic Test (continued)

- Ask yourself: “If I didn’t have these federal funds, would I conduct this program/activity/service with other funds anyway?”
- If the answer is yes, you are supplanting because it is no longer a supplemental activity. The LEA must be able to demonstrate that it could not conduct the activity if it didn’t have the federal funds.
Enhancing, Expanding, or Extending Required Activities

- If federal funds are used to enhance or expand a state mandate, SBOE rule, or local board policy, then the federal supplementary activities must be separately identified and clearly distinguishable from those activities identified as necessary for implementing the state mandate, SBOE rule, or local board policy as outlined in the implementation plan.
Penalties for Supplanting

- All federal funds involved in a supplanting situation would most likely have to be returned to the federal government.

- Since audits are usually conducted after the grant period has ended, there is often no other alternative corrective action available other than the LEA returning the funds.
Three Presumptions of Supplanting

- Based on guidance from the U.S. Office of Management and Budget (OMB), the U.S. Department of Education (USDE) auditors presume that supplanting has occurred in three general situations.
Presumption 1: The LEA provided services with federal funds that the LEA is required to make available under state law, SBOE rule, Commissioner’s rule, or local policy.
Presumption 2: The LEA provided services with federal funds that the LEA provided in a prior school year with non-federal funds.
Presumption 3: The LEA used federal funds to provide the same service for eligible children (i.e., CTE students) as the LEA provided with state or local funds to children not eligible for services (i.e., students not enrolled in CTE courses).
If in Doubt . . .

- If in doubt about whether a proposed expenditure is a supplant, contact the TEA Help Desk through the link on the Grants web page.
Capital Outlay

- All capital outlay must be approved by TEA. Capital outlay may include:
  - Equipment
  - Software
  - Library books and library media
Equipment is defined as an article of nonexpendable, tangible, personal property having a useful life of more than one year and an acquisition cost that equals the lesser of the following:

- The capitalization level established by the applicant for financial statement purposes, or $5,000, whichever is less.

You are no longer required to capitalize a set of items that operates as a single unit and collectively is valued at the capitalization level.
TEA Grant Management Resources
TEA Grant Management Resources

- TEA Grants page
- TEA Help Desk
- TEA Grant Management Resources page
- TEA Grant Opportunities page
Locate the TEA Grants Page
Locate the TEA Grants Page (continued)
TEA Grants Page

Grants

Reach the Division of Grants Administration by email at grants@tea.state.tx.us and by phone at (512) 463-8525.

If you have a grant-related question, submit it through the TEA Help Desk.
To access the help desk, click the green "TEA Help Desk" button to the right.

TEA Grant Opportunities Page

Information about all grants, including program descriptions, eligibility requirements, deadline dates, and funding amounts, can be found on the TEA Grant Opportunities page.

Secure Environment: TEASE and TEAL

TEA's secure software applications (that is, applications that require the user to apply for a user account, in order to prevent unauthorized access to confidential data) are accessed through a "secure environment." These applications include the Expenditure Reporting (ER) system and eGrants, which TEA grantees need to draw down grant funds and to access electronic grants.

The agency's current secure environment is the TEA Secure Environment (TEASE). In the near future, however, TEASE will be replaced with the TEA Login (TEAL).

For instructions on how to request TEAL access and link TEASE applications to a new TEAL account, see the links listed at the bottom of this page.

Current Grants Information and Guidance

For guidance prior to that listed on this page, refer to the Prior Grants Information and Guidance page. For more information, call (512) 463-8525 or email grants@tea.state.tx.us.
TEA Grants Page

- [www.tea.state.tx.us/grants](http://www.tea.state.tx.us/grants)
- TEA Grant Opportunities page
- TEA Help Desk button
- Secure Environment: TEASE & TEAL
- Current Grants Information & Guidance
  - Guidance
  - Handbooks
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TEA Grants Page (continued)

- Division of Grants Administration
  - Announcements
  - Federal & State Entitlements
  - Competitive Grant Selection Lists

- Grant Management Resources

- General Grant Resources
  - Grants Administration listserv
  - Grant Terminology
TEA Grants Page (continued)

- Grant Awards by Grantee
- TEASE and TEAL accounts
  - Link to login screens
  - Request access
TEA Help Desk

TEA HELP DESK

The Texas Education Agency has implemented a support ticketing system to better serve our customers. This system allows TEA to respond to requests, problem reports, and questions in a more timely and effective manner. We encourage customers to review the “Frequently Asked Questions” below or to search articles that may address your issue. Doing so may alleviate the need to open a new ticket. If one of the FAQs does not adequately address your issue, please click “Submit a Request” and select the most relevant category.

- Login/Password Help
- Frequently Asked Questions
- Submit a Request
TEA Help Desk

- Submit questions to Grants Administration
- Ensures fast response
TEA Grants Page – TEA Grant Opportunities

Link
TEA Grant Opportunities
TEA Grant Opportunities

- All available grants (eGrants & Paper)
- Listed by school year, then alphabetically
- Search Function:
  - Application Name
  - School Year
  - Funding Type (Federal, State)
  - Application Type (Discretionary, Formula)
TEA Grant Opportunities (continued)

- Program Information
  - Program Description
  - Eligibility
  - Statutory Authority
- Funding Information
  - Funding amount, grant period
TEA Grant Opportunities (continued)

- Application and Support Information
  - Parts of RFA, including Errata’s & FAQs
- Critical Events
  - Application due date, reporting dates
- Contact Information
  - Funding & program contacts
Travel Information
Current mileage and travel reimbursement rates are listed in the October 9, 2013, To the Administrator Addressed (TAAA) letter.

Division of Grants Administration
The Division of Grants Administration facilitates discretionary and formula funding, as authorized by state and federal law, to local educational agencies (LEAs) and other grantees in support of programs and initiatives to improve student performance and the high school graduation rate. TheDivision of Grants Administration's functions include developing grant applications, reimbursing approved grants, and managing all fiscal matters related to grants. Contact the division at (512) 463-8525 or grants@tea.state.tx.us.

- Announcements
- Federal Entitlements
- State Entitlements
- Competitive Grant Selection List
- Archived Requests for Applications (RFA)
- ProjectFAS Logon (TEA Special Education and Services for the Deaf Archived Applications)
- Request to Extend Expenditure Reporting Deadline (see the Grant Management Guidance section of the Grant Management Resources page)

Grant Management Resources
Information on how to manage various aspects of a TEA grant is listed on the Division of Grants Administration’s Grant Management Resources page.
Grant Management Resources Page

- General and Fiscal Guidelines
  - Applies to all grant programs
- Provisions and Assurances
  - Debarment and Suspension
  - General Provisions and Assurances
  - Lobbying Certification
  - No Child Left Behind (NCLB)
Grant Management Resources Page (continued)

- Amendments
  - When to Amend the Application
- Expenditure Reporting
  - Refund Procedures
  - Use of Expenditure Reporting to Receive Payments
- Handbooks
- Budgeting Guidance
- Allowable Cost Guidance
Grant Management Resources Page (continued 2)

- Training
  - Training for Nonprofit Grantees
  - When to Amend the Application
- Travel Guidelines
- Federal Cost Principles
- Uniform Administrative Requirements for Grants
- Audits
Contacts

- TEA Help Desk
  - https://txeduagency.zendesk.com/hc/en-us

- Program Information
  - Division of Curriculum
  - Email: Career@tea.state.tx.us
  - Phone: (512) 463-9581
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